

# WILLIAM J. SCOTT ATTORNEY GENERAL STATE OF ILLINOIS SPRINGFIELD

March 10, 1971

FILE NO. S-279

OFFICERS: Director of the Department of Public Aid

Honorable Cecil A. Partee President Pro Tempore Illinois State Senate State House Springfield, Illinois 62

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Dear Sir:

I have your letter of March D. 1971 and the enclosed biographical data of Mr. Donald F. Simpson. Your letter reads as follows:

Tam writing to ask your opinion as to whether Donald F. Simpson is qualified under the law of Illinois for confirmation by the Senate as the Director of the Department of Public Aid. The factual situation from which my question axises is as follows:

"a. Section 7.09 of the Civil Administrative Code of Illinois provides as follows:

'The Director of Public Aid shall have at least 10 years of

experience in responsible administrative capacities in the field of public assistance, and shall not have been politically active in the 5 years preceding appointment.

- b. Mr. Simpson's background experience is set forth in the attached biographical data.
- "c. Mr. Simpson is on a leave of absence from the U.S. Department of Health, Education and Welfare. and would continue in this status while serving as Illinois Public Aid Director. Your attention is directed to Senate Bill 11. Title IV of the 91st Congress, First Session, Title V-33 U.S. Code. The general provisions of this statute provide in part that an employee released on leave of absence to serve with a state government 'remains an employee of his agency'. The statute further provides that if the rate of pay of the employee is less in state government than it was in the regular agency assignment, the agency must pay a supplementary amount to equal the difference. The statute further provides that all other employee's benefits including Civil Service Retirement Credit be retained by the employee.
  - "d. An inquiry is directed as to the length of time Mr. Simpson has been a resident of Illinois and whether he meets the requirements

of Article VII, Section 6 of the Constitution of Illinois (1870) governing appointment of persons as state officers."

The biographical data of Mr. Donald F. Simpson

### states as follows:

#### "BIOGRAPHICAL DATA

## "Donald F. Simpson

"Born

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Walla Walla, Washington, September 28, 1917 Married - three children

"Office Address:

U. S. Department of Health, Education, and Welfare Region V 433 West Van Buren Street, Room 901 Chicago, Illinois 60607

Telephone: Area Code 312, 353-4237

"Home Address:

2 Hickory Trace Drive Justice, Illinois 60458

Telephone: Area Code 312, 594-1456

"Education:

A.B. - Kalamazoo College, Kalamazoo, Michigan - 1939 Political Science

M.A. - Columbia University
New York, New York - 1940
Government and Public Administration

University of Illinois, Urbana, Illinois - 1941 Government and Public Administration

1969 - Present Regional Commissioner Social and Rehabilitation Service, HEW Region V

> Plans and directs HEW's Social and Rehabilitation Service programs in Region V (Illinois, Indiana, Michigan, Minnesota, Ohio and Wisconsin). includes the Federal-Statelocal programs of public assistance (Aid to Needy Families, Old Age Assistance. Aid to the Blind. Aid to the Disabled); medical assistance (Medicaid), vocational rehabilitation, child welfare services: mental retardation and other developmental disabilities; juvenile delinguency prevention and control; and special programs for older Americans. Research, training and demonstration projects are developed in cooperation with universities. hospitals, research organizations and private and public health and welfare agencies in social and rehabilitation service fields.

\*1952 **--** 1953

Administrative Officer. Public Health Service

Department of Health, Education, and Welfare

Directed management program (fiscal, personnel, supply, procurement, etc.) for a Bureau of the Public Health Service; later served as Assistant Executive Officer of the Public Health Service. Directed several management and organizational studies of major PHS programs.

"1949 - 1952

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Burgas and San Harry Carlotte Constitution

San San Carlos Carlos Albertain

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Held a variety of management positions in the Public Health Service

"Publications:

'Orientation at HEW' <u>Public</u>
<u>Personnel Review</u>, Vol. XXXI,
No. 4, October 1970 (with
Anne N. Crutcher).

'The Worth of our Work,'

The HSW Forum Papers 19671968, U. S. Government
Printing Office; 1968

'Staff Assistance in Personnel Administration,'
Society for Personnel Administration Journal,
Vol. 31, No. 6, November-December 1968.

'Are You Getting the Most Environmental Health Service Out of the Tax Dollar? - The Viewpoint of the Public Administrator,' American Journal of Public Health, Vol. 49, No. 6, June 1959

'Personnel Policy and Practice,' <u>Chicago-Cook</u> <u>County Health Survey</u>, Columbia University Press, 1949

'Merit System Administration in Official Health Agencies,' <u>American Journal</u> of <u>Public Health</u>, January 1947

'Law Departments and Law Officers,' State Government, October 1941

#### "Professional Society and Other Memberships:

American Society for Public Administration
American Public Health
Association
American Academy of Health
Administration
Gorgas Memorial Institute
Professional Examination
Service

\*1966 - 1969

Assistant Secretary for Administration Department of Health, Education, and Welfare

Planned and directed HEW's personnel and training, management systems and evaluation, general administrative support, internal security, civil defense planning, State Merit Systems technical assistance, surplus property utilization, and related administrative programs.

\*1962 - 1966

Executive Officer, Public Health Service, Department of Health, Education, and Welfare

Served as chief advisor to the Surgeon General, Public Health Service, on all administrative management matters including budget and finance, internal audit, supply and general services, and management systems. Directed work of those divisions of the Public Health Service conducting central management programs.

\*1961 - 1962

Chief, Employment Division, Department of State

Directed the employment program for both foreign service and civil service personnel of the Department of State, including national recruitment programs and selection and initial placement of both civil service and foreign service personnel.

"1956 - 1961

Wasan Sakin

Chief, Division of Administration, Pan American Health Organization, Regional Office of World Health Organization

Responsible for complete administrative management program of the Pan American Health Organization including budget secrete see a seek and finance, personnel, support services, procurethe property of the conwent and property managesaging the control of and evaluation.

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\*1953 - 1956 Director, Division of General Services styring a menoncerical and Department of Health. Education, and Welfare AND THE PROPERTY OF THE PARTY OF THE CO.

Table 1 The Company of the Directed organizations many many arrangement providing accounting, procurement, supply, statistical processing, library services and other administrative services for the Department.

Distinguished Service Award - 1966 U. S. Department of Health. Education, and Welfare

Superior Service Award -... U. S. Department of Health. Education, and Welfare

Meritorious Salary Increase for Outstanding Performance -1951 Public Health Service. Federal Security Agency"

As you have indicated in your letter, Paragraph 7.09 of Chapter 127, 1969 Illinois Revised Statutes requires that in order to be appointed Director of Public Aid a

person must have had at least ten years of experience in responsible administrative capacities in the field of public assistance. From the biographical data you have submitted, it would appear that Mr. Simpson meets this requirement. However, this determination is to be made when confirmation is considered and I do not wish to substitute my judgment for that of the Illinois Senate. In addition, Section 6 of Article VII of the Constitution of Illinois of 1870 requires that a person who is appointed to any office in this state must have resided in Illinois for at least one year preceding his appointment. The information which you submitted to this office does not indicate the place of residence of Mr. Simpson other than his address at Justice, Illinois.

Your attention is invited to Section 3 of Article IV of the Constitution of Illinois of 1870 which reads as follows:

"\* \*Nor shall any person, holding any office of honor or profit under any foreign government, or under the government of the United State, (except postmasters whose annual compensation does not exceed the sum of \$300,) hold any office of honor or profit under the authority of this state."

An important determination to be made is whether Mr. Simpson is an "officer" within the above quoted constitutional provision which prevents a person from holding an office in the State government at the same time he holds an office in the Federal government.

I have carefully read the provisions of Senate
Bill 11 commonly known as the Intergovernmental Personnel
Act of 1970, as it pertains to the question posed herein.
That Act by its terms applies only to Federal "employees".
The Act further provides that Federal "employees" may take
leave of absence to become officers of State governments.

A determination of who is an officer within the prohibition of the above quoted constitutional provision is difficult because the term has variable import, the meaning of which necessarily varies with the connection with which it is used. We are informed that Mr. Simpson is a United States Civil Service employee and that (if he receives Senate confirmation) when he leaves his position as Director of Public Aid of the State of Illinois he will return to his general Civil Service status and grade and not the particular position which he now holds. Furthermore, for the purposes involved in your question,

it is my opinion that a person who is on leave of absence from a position is not holding that position during the time he is on such leave of absence.

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There is little doubt that Mr. Simpson would be holding a position of honor and profit under the State of Illinois by becoming Director of Public Aid, if he is approved by the Senate. However, according to Illinois judicial authority the acceptance by him of that office would be a constructive resignation of any prior Federal office held if it should be determined that he is, in fact, a Federal officer (Fekete v. City of East St. Louis, 315 Ill. 58; Livingston v. Ogilvie, 43 Ill. 2d 9).

As a further consideration in arriving at an opinion in this matter, I have considered the general policy of the law that an "office" must at all times be filled. It follows therefore that a person could not take a leave of absence from an "office" whereas he may take a leave of absence from an employment relationship. This is true because ordinarily an officer holds his office until his successor is appointed or is elected.

I have also examined the Illinois Constitution of 1970 which becomes effective next July 1, and I find

that the language above quoted in Article IV, Section 3, which prohibits a person from holding an office of honor or profit in the State and Federal governments at the same time is not included therein.

In view of the above, it is my opinion that Section 3 of Article IV of the Constitution of 1870 does not prevent Mr. Simpson from being confirmed as Director of Public Aid. As the questions presented concern the Illinois Constitution and statutes, I have limited my consideration and opinion to this area of the law.

Very truly yours,

ATTORNEY GENERAL